

422 Rec'd PCT/PTO 21 MAR 2000

FORM PTO-1390 REV. 5-93		US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEYS DOCKET NUMBER <b>P00,0134</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES          DESIGNATED/ELECTED OFFICE (DO/EO/US)          CONCERNING A FILING UNDER 35 U.S.C. 371</b>			U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>09/509049</b>
INTERNATIONAL APPLICATION NO. <b>PCT/DE98/02634</b>	INTERNATIONAL FILING DATE <b>07 SEPTEMBER 1998</b>	PRIORITY DATE CLAIMED <b>22 SEPTEMBER 1997</b>	
TITLE OF INVENTION <p style="text-align: center;"><b>"COMMUNICATION SYSTEM"</b></p>			
APPLICANT(S) FOR DO/EO/US <p style="text-align: center;"><b>JUERGEN BRIESKORN</b></p>			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.</li> <li>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of International Application as filed (35 U.S.C. 371(c)(2)) - drawings attached.           <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)) - drawings attached.</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input checked="" type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>			
<b>Items 11. to 16. below concern other document(s) or information included:</b>			
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report).			
12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. <b>(SEE ATTACHED ENVELOPE)</b>			
13. <input checked="" type="checkbox"/> Amendment "A" Prior to Action. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.			
14. <input type="checkbox"/> A substitute specification.			
15. <input type="checkbox"/> A change of power of attorney and/or address letter.			
16. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Submission of Informal Drawings, 7 sheets of drawings, Figures 1-7B; and                Request for Approval of Drawing Modifications, 2 sheets of drawings, Figures 2 &amp; 3.</li> <li>b. <input checked="" type="checkbox"/> EXPRESS MAIL #EL 470809135US dated March 21,2000.</li> </ol>			

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.51)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

09/509049

PCT/DE98/02634

100,0134

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):**

Search Report has been prepared by the EPO or JPO ..... \$840.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) .. \$670.00

No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but  
international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) ..... \$760.00Neither international preliminary examination fee (37 C.F.R. 1.482) nor international  
search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO ..... \$970.00International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all  
claims satisfied provisions of PCT Article 33(2)-(4) ..... \$96.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

CALCULATIONS

PTO USE ONLY

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months  
from the earliest claimed priority date (37 C.F.R. 1.492(e)).

\$

Claims

Number Filed

Number  
Extra

Rate

Total Claims

16

- 20 =

0

X \$ 18.00

\$

Independent Claims

02

- 3 =

0

X \$ 78.00

\$

Multiple Dependent Claims

\$260.00 +

\$

**TOTAL OF ABOVE CALCULATIONS =**

\$ 840.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also  
be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)

\$

**SUBTOTAL =**

\$ 840.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months  
from the earliest claimed priority date (37 CFR 1.492(f)).

\$

+

**TOTAL NATIONAL FEE =**

\$ 840.00

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be  
accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property

+

**TOTAL FEES ENCLOSED =**

\$ 840.00

Amount to be  
refunded

\$

charged

\$

a. ☒ A check in the amount of \$ 840.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A  
duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 08-2290. A duplicate copy of this sheet is enclosed.NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be  
filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Hill & Simpson  
A Professional Corporation  
85th Floor Sears Tower  
Chicago, Illinois 60606

SIGNATURE

Steven H. Noll  
NAME28,982  
Registration Number

**CERTIFICATE OF MAILING BY EXPRESS MAIL****Express Mail Mailing Label Number EL 470809135US****Date of Deposit: March 21, 2000**

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10(c) on the date indicated above and is addressed to:

**BOX PCT  
Assistant Commissioner for Patents  
Washington DC 20231**

**Case Number: P00,0134  
Applicant(s): Juergen Brieskorn**

**International Application No. PCT/DE98/02634  
International Filing Date 07 September 1998  
Priority Date Claimed 22 September 1997**

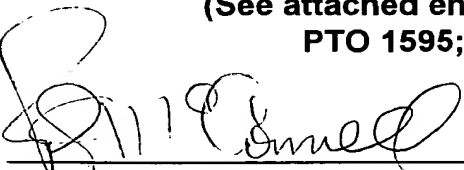
**Title: COMMUNICATION SYSTEM**

**Enclosed are the following documents:**

International application as filed, drawings attached;  
English translation, drawings attached;  
Annexes;  
Executed Declaration;  
PTO 1390 in duplicate;  
Amendment "A" prior to action;  
Information Disclosure Statement, PTO 1449, Search Report, References;  
Submission of Informal Drawings, 7 sheets, Figures 1-7B; and  
Request for Approval of Drawing Modifications, 2 sheets of drawings,  
Figures 2 and 3;

**Fee: \$840.00  
Postcard**

**(See attached envelope for Executed Assignment;  
PTO 1595; \$40.00 filing fee; Postcard)**



**Signature of person mailing documents and fees**

097509049

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**Client: Siemens AG**

**Hill Firm Case P00,0134**

**Client ref. no. GR 97 P 2496 P US**

**Inventor: Brieskorn**

5

Translation: 4450 words

Siemens AG  
PCT Application  
Substitute Pages  
Our Case P-00,0134  
GR 97 P 2496 US  
Inventor: Brieskorn

09/509049  
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Translation/February 24, 2000/911:911/1680 words